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UNITED STATES DISTRICT COURT

NORTHERN DISTRICT OF CALIFORNIA

BEFORE THE HONORABLE JACQUELINE SCOTT CORLEY, MAGISTRATE JUDGE

WAYMO, LLC,

Plaintiff,

v.

NO. 3:17-cv-00939-WHA

UBER TECHNOLOGIES, INC.;

OTTO TRUCKING, LLC;

OTTOMOTTO, LLC, et al.,

TRANSCRIPT OF OFFICIAL ELECTRONIC SOUND RECORDING OF PROCEEDINGS

Defendants.) San Francisco, California

Thursday, October 5, 2017

FTR 1:34 p.m. - 1:56 p.m. = 22 minutes

APPEARANCES:

For Plaintiff: Quinn, Emanuel, Urquhart, Oliver

& Hedges, LLP

50 California Street, 22nd floor San Francisco, California 94111

BY: JAMES DUBOIS JUDAH, ESQ.

JAMES E. BAKER, ESQ.

JORDAN ROSS JAFFE, ESQ.

(by telephone conference)

(Appearances continued on following page.)

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APPEARANCES: (cont.)

For Defendant Ottomoto LLC:

Morrison and Foerster, LLP 555 West Fifth Street, Suite 3500

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BY: SYLVIA RIVERA, ESQ.

(by telephone conference)

(The following individuals are indicated of record, but not participating actively in this proceeding.)

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Thursday, October 5, 2017

1:34 p.m.

PROCEEDINGS

THE CLERK: C17-0939, Waymo versus Uber.

Counsel, there's no need for you to state your appearance.

THE COURT: So good afternoon. It's Judge Corley; if I could first hear from Waymo.

MR. JUDAH: Thank you, your Honor. This is James

Judah for Waymo. So the issue is the Ottomotto team site for

Slack, which was, according to the Stroz report, was set up as
a 280 systems Slack site on November 10th, 2015, and then it's
referenced repeatedly in the Stroz report that both

Mr. Levandowski and Mr. Ron were using Slack in the time period

prior to -- I guess the time that Stroz took the devices and
whatnot.

Stroz did not actually examine any of the Slack materials, and we did not know about the -- this extensive use of Slack in this time period or the policy of deleting all messages and expressly using Slack as an alternative to e-mail or other types of communication.

So this is basically a situation where, had we had the Stroz report before the close of fact discovery and had this information that we did not have before, we would have asked to inspect the platform. We also would have demanded to

get documents from Uber and Ottomotto from this time period 1 from the Slack channel. We didn't know that either. 2 If there were deletions of material on the Slack 3 channel, on the Slack team site for Ottomotto, we probably need 4 5 to go with a subpoena to Slack, which we are prepared to do, but in order to get user data, we would need consent of the 6 7 owner of that team site, and we don't know who that owner is. 8 So that's basically where we are. The --THE COURT: So what are you --9 -- privilege objections --MR. JUDAH: 10 **THE COURT:** So Mr. Judah, what are you asking for? 11 MR. JUDAH: We would like to inspect, pursuant to 12 13 the PI order, the Ottomotto team site, the team site for Slack. 14 THE COURT: And where would that inspection take 15 place? 16 MR. JUDAH: That's a question that Uber is better 17 prepared to answer. THE COURT: Okay, and how long of an inspection? 18 19 MR. JUDAH: That depends on the amount of content 20 that's still available. It's difficult to answer that. 21 I mean, I don't know how many documents and messages are still on there. 22 23 THE COURT: Well --MR. JUDAH: The other thing we would ask for in this 24 25 connection is the consent of the Court -- the Court order that

whoever the team owner is currently for this Ottomoto Slack account, which was merged with Uber's, apparently, that they grant consent for Slack to produce, in response to a subpoena, any user data that has been deleted and is no longer available through whatever access Uber itself has.

THE COURT: All right, let me hear from Ms. Rivera.

MS. RIVERA: Thank you, your Honor. With regard to the Slack issue that we're discussing, I think Waymo is trying to use the Stroz report as an excuse to reopen discovery about something that they've known about and could have requested months ago, but failed to do so. As your Honor knows, Judge Alsup has ruled that no new discovery is allowed unless your Honor grants it, and in this case, I just don't see any good cause.

Waymo has known that Uber and Otto use a Slack channel since at least April 13th, 2017, when we produced documents from the Slack channel, and we specifically referenced the Slack channel in an RFP response. They served an RFP that asked for documents sufficient to show defendant's knowledge of Waymo's autonomous vehicle program, including all documents that discuss or mention the design of Waymo's LIDAR. The written response that we served on April 13th says,

"Defendants will produce non-privileged documents that discuss or mention the design of Waymo's LIDAR that had been collected from defendant's internal

Slack channel."

This was on April 13th, 2017.

THE COURT: So did you produce -- did you produce the chauffeur next steps we need team.mac file?

MS. RIVERA: Sure, so your Honor, we have searched for that, and that is not on the Slack communications.

THE COURT: So --

MS. RIVERA: So let me provide your Honor with a little bit more background on my understanding of the Slack channel based on basically the investigation that we've been doing in response to, you know, Waymo's recent request.

The Ottomotto Slack channel was merged with Uber's Slack channel in October of 2016, and in the normal course, the public or group discussions were preserved and merged.

One-on-one discussions were not merged.

I don't know what the Stroz investigators were talking about in the Stroz report. I know that Waymo has reached out to Stroz and said, hey, somewhat, can we review this Slack -- and counsel for Stroz responded back, well, actually, Stroz did not review any Slack. The report was simply referring to times when, according to the data on the Diligent employees' devices, times when they were accessing Slack, but Stroz didn't actually review it.

So in a way, I'm kind of reconvening (phonetic) here, because I don't know what the Stroz report is

Specifically referring to. We do know that there was and is an Ottomotto Slack channel that was merged with Uber's in October of 2016. Private messages were not merged. Group messages were merged. To the extent there were press messages and, you know, the reference to that Chauffeur document, you know, it was in private messages. My understanding is that content was not, you know, merged over and retained in what is now the current combined Slack channel.

I do want to reiterate, though, we did search that Slack channel. We searched it -- it was included in the scope of our search for the 14,000 allegedly misappropriated files. We then -- we specifically searched it again for that response to RFP number 5, which was served during the preliminary injunction phase of discovery.

So it certainly indicates that we have searched what's available, of the combined --

THE COURT: So -- wait -- so let me understand. You have searched the merged Slack -- I don't know what to call it -- platform, whatever you have it -- with Waymo's search terms. Is that what you're saying?

MS. RIVERA: Correct.

THE COURT: Okay. All right, so --

MR. JUDAH: Your Honor, I just want to -- this is James Judah.

THE COURT: Yeah.

We also

MR. JUDAH: I just want a clarification. Is that --1 so is that all the search terms or just for the 14,000 files 2 and any response to the PI phase expedited RFP number 5? 3 That's correct, that's for the --4 MS. RIVERA: pardon me -- the search for the 14,000 files and for the 5 6 expedited PI -- the expedited PI search that we did. 7 searched for Anthony Levandowski communication. 8 As far as searching it sort of more broadly for the other -- what I'll refer to as the regular RFPs, that the 9 10 parties were responding to in July and August of this year, you 11 know, on July 3rd or 4th, we disclosed to Waymo the sources that we were searching for those regular RFPs. 12 13 The parties had an extensive meet-and-confer that 14 continued for multiple weeks about -- we disclosed both the 15 sources and the custodians that were being searched. We met 16 and conferred for multiple weeks about those disclosures. 17 Again, it was actually a mutual disclosure, because Waymo 18 basically gave us their sources and custodians and we gave Waymo our sources and custodians. We conferred for three weeks 19 20 until we finally reached agreement, and Slack was not among any of the materials that Waymo asked us to search for the regular 21 RFP. 22 23 THE COURT: Okay, but so --

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Your Honor, may I respond to that? MR. JUDAH:

Wait, wait. Wait, just so I understand, THE COURT:

so you have, though, searched the merged file for that 1 chauffeur next steps we need team.mac file, or folder. 2 MS. RIVERA: Yes, so that, your Honor, I actually 3 had them search that, searched for -- I had them search for the 4 word "chauffeur," yes, right, because certainly, if that's in 5 there, we have no problem grabbing it and producing it. They 6 searched for the word "chauffeur" last night, and they found a 7 handful of instances, most of which were referring to 8 "chauffeur" as, like, "Yeah, I'll ask my chauffeur to take care 9 of it." 10 11 There was one instance where someone was referring to Chauffeur as a reference to "Google Chauffeur," and what 12 13 they said is, "Isn't Google's AB program already called the 14 Chauffeur?" Question mark. And that's all we could find in 15 Slack that referred to "chauffeur." 16 THE COURT: And then as I understand --17 MS. RIVERA: -- the case --THE COURT: Yeah, and as I understand it --18 19 MS. RIVERA: Your Honor, it's just that, if you want to -- sorry, your Honor. 20 21 THE COURT: No, I was going to say, as I understand it, you said you also searched it for Mr. Levandowski's 22 23 communications? MS. RIVERA: Correct, Mr. Levandowski's 24 25 communications and searches for the -- there is a long list of

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search terms the parties were using to search for the 14,000 allegedly misappropriated files --THE COURT: Okay, Mr. --(Simultaneous colloquy.) MS. RIVERA: -- bucket. THE COURT: Go ahead, Mr. Judah. MR. JUDAH: So a couple things. First, your Honor, we saw a reference that defendants had -- used Slack channels, and I checked the production last night. The earliest e-mails or communications I saw from the Ottomotto side that referenced Slack were from April 2016. So we -- I mean, we literally had no idea, until we got the Stroz report, which we've been asking for, of course, the whole case, that on, for example, December 18th, 2015, Lior Ron, or Anthony Levandowski, but Lior Ron went through, "Let's do Slack and iMessage only, " smiley face, and the response was, "Okay," and also that documents on, I think, everywhere were being destroyed up until at least March. So we really were not in a position, through no fault of our own, to know how highly relevant these Slack materials were going to be. It also sounds like there's been deletions of evidence and communications, and of documents, apparently, relating to Chauffeur at least, on the Slack channel, that

I think we're going to need to subpoena Slack, and my

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understanding of the privacy laws is that in order to get the user data, which would be necessary to, for example, see the contents of that Chauffeur plan document, among likely many others, we need consent of the team site owner. THE COURT: Okay. MR. JUDAH: So I mean --THE COURT: That I understand. That I understand, so who is that? MR. JUDAH: We don't -- I don't have that information. That's information that we do not -- that Waymo does not have at this time. THE COURT: All right, so then -- so I don't think -- it sounds like -- I don't think -- I think what you need, I agree, is because what Ms. Rivera's represented is that the individual messages, which is where that file would have been, and the stuff that's deleted, I understand why you want the stuff that's deleted, and I don't think that you could have known about that, but that you need to subpoena. And so the question is: How do we get you the information you need in order to do your subpoena? MR. JUDAH: I agree that's the question, your Honor. THE COURT: Okay. So Ms. Rivera, do you.... I mean, well, so what is it that you need to know, so maybe Ms. Rivera can find that out? MR. JUDAH: So we need to know the -- it's going to

be the owner of the team site.

MS. RIVERA: The owner of the team site.

MR. JUDAH: Yeah, the team owner for the Ottomotto Slack site, and it was merged into Uber; it might be the current Uber owner, and if it wasn't, it might -- it's possible the owner is someone like Lior Ron, or it's possible it's someone at Uber, but we don't know, and we need that in order to get a consent form to Slack so that they could produce the user data.

THE COURT: I mean, I don't know, but I'm wondering if maybe what you need to do is serve that subpoena and then Slack will tell you, because this -- I don't know, but you might want to do it simultaneously, I guess is what I'm saying, as opposed to waiting for a consent.

MR. JUDAH: Understood, your Honor. The other thing that we could -- that we need, and if Uber doesn't have it, but there's an option for a "compliance export," it's called. It's a Slack term of art, I believe. But that will include information about activity and access from users of the Slack site, of the team site. So if Uber has a compliant export for that -- and that's an option which may or may not apply to an account. It's something that, when you set it up -- but that's something that we may need to get, in addition to the user data and the metadata from Slack itself, if it's not available to Uber.

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THE COURT: All right, Ms. Rivera, can you find out if that's available? I know you can't tell me off the top of your head if it is or is not, but can you --MS. RIVERA: Yeah, I just -- actually just wanted to mention, we got the request for the inspection of the Otto Slack at 5:30 p.m. last night. THE COURT: Yeah. MS. RIVERA: So these are sort of details that I'm just not --THE COURT: No, no, no, I understand, but what I want to know is, do you have any objection to looking for that -- meeting with Mr. Judah and figuring out that -- what he's talking about, and then --MS. RIVERA: Yeah, I think it makes sense for us to talk a little bit more, because I'm sort of doing this based on references to page 12 and page 19 of the Stroz report, which were the pages that Waymo had referred to --THE COURT: Okay. MS. RIVERA: -- and then going back and talking to clients about that. **THE COURT:** Okay. MS. RIVERA: James, it sounds like you've had conversations with Slack, and so maybe we need to talk, you know, to talk, so I can get a better understanding of what it Like the compliance export, I'm not sure if that's

something that you're indicating that we should already have or it's something that you should be able to request from Slack...?

MR. JUDAH: It's an option, as I understand it, that would -- that Uber would, if it had it signed up with the account that way, would be able to access itself, but it depends on the user account.

THE COURT: Okay.

MR. JUDAH: But the other thing I would just add, your Honor, is, in order to get user data, my informed understanding is that we would need the consent of the team owner.

So I don't know -- I haven't heard representation from Uber that if they -- if they or someone they control, the team owner, that we would get that consent. So I just don't want to have to delay this process and then come back in front of you, you know, tomorrow or the day after, on the same issue.

THE COURT: You can't come back to me the day after in any event, but I'm sure that Uber will give the consent, I'm sure that Mr. Levandowski will not, and I don't know who else is there. I'm sure probably Mr. Ron would, I don't know, but obviously....

So this is what I'm going to say. Oh -- so this is what I want to say. The one thing I would say is that the relevance of this, of trying to get the stuff that was deleted

from Slack, was apparent from the due diligence report which was produced to Uber -- I mean, to Waymo, I think about three weeks ago now. So I actually do think this request is a bit late. But nonetheless, I hadn't given you any deadline for that.

But to the extent now you're going to justify a request for new discovery, which has to come to me unless stipulated to, based on the due diligence report, it needs to be made by 5:00 p.m. tomorrow, because this is just based solely on the due diligence report, and I'll note that Waymo complained that it took Uber four hours to get it to them.

So I assume that at that four hours, you had many people reading it, beginning to end, and the relevance of this was obvious. And so I don't know what the delay is. You don't need to tell me, I'm going to let you get it. But to the extent there's anything else like this in the due diligence report, it must be requested by 5:00 p.m. tomorrow.

The other thing with respect to Judge Alsup's order and new discovery, whatever requests have to be brought to me by October 20th. That's our deadline. That forces Waymo, I think, to prioritize where it's spending its time, because if it's going to uncover something which then justifies some new discovery, it needs to be uncovered quickly. All right? And I'll put something on the docket that reflects that.

And the third thing is, I do think they're entitled

to get whatever -- or I'm going to order Uber to cooperate with Waymo to get the information they need in order to subpoena the information as to the deleted, or no longer available to Uber, information as to the Slack site.

MS. RIVERA: Your Honor, this is Ms. Rivera, if I can be heard for a quick moment?

THE COURT: Sure.

what sort of deliverable Slack would be making, or, you know, what sort of fine distinctions that they would be making, but I know that, you know, Slack has, or -- is the service provider -- I shouldn't say Slack is -- whoever the service provider is, you know, there's both Otto Slack, there's Uber Slack, there's like over a thousand channels, I understand, and the time period that is covered, you know, we're already in October 2017 --

THE COURT: No, no we're talking about pre-April 2016, pretty much.

MS. RIVERA: Sure. So that's -- exactly, your

Honor. I just wanted to be clear about the scope of what

they're getting, because I wouldn't want there to be, you know,

a really over-broad production.

I'm also concerned about there being privileged information in there. I just don't know what -- you know, who all would be the people participating in the communications.

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                 THE COURT: I don't know, but --
                 MS. RIVERA: So it's -- it's -- if your Honor
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      would --
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                 THE COURT: I'm going to assume that there --
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                          (Simultaneous colloquy.)
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                 -- I'm going to assume there weren't lawyers
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      participating in the communications, that there were
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      communications going about, "Let's delete this every night, so
      we don't have it, " I'm going to actually assume we don't have
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      lawyers, and so that's not going to be an issue.
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                 MS. RIVERA: Sure, sure. Sure. I'm just wondering
      if there's a way to sort of carve out what it is that they're
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      entitled to get, so that Slack knows exactly what they need to
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      do and they're not turning over, you know, two years' worth of
      stuff.
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                 THE COURT: Well, my proposal would be, from its
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      creation, April 16th -- April 2016 back.
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                 What about that, Mr. Judah?
                 MR. JUDAH: Your Honor, that sounds like something
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     we could agree to.
                 THE COURT: Yeah, okay, and I think you're only
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      really talking about three or -- six or seven months at the
     most, there.
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                 MS. RIVERA: And your Honor, would it be -- would it
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     be okay for privilege purposes for Uber to do a quick review on
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those messages before they get produced?
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                 THE COURT: No, we'll do --
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                 MS. RIVERA: -- privileged material.
                 THE COURT: Claw-back. We'll do claw-back again.
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      Again, we have no reason to believe that there's anything
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      there. I think that's just going to delay things.
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                 MR. JUDAH: Thank you, your Honor.
                 THE COURT: Okay, but -- so just -- so Mr. Judah,
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      just so you're clear, if there's anything else, based on this
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      due diligence report, your deadline is 5:00 p.m. tomorrow to
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      make that request, and any other new discovery requests have to
     be brought to my attention by October 20th, unless otherwise
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      stipulated to.
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                 MR. JUDAH: Understood.
                 THE COURT: Okay. Thank you.
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                 MS. RIVERA: Thank you, your Honor.
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                 MR. JUDAH: Thank you, your Honor.
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                 THE COURT:
                             Thank you, Ms. Cooper.
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CERTIFICATE OF TRANSCRIBER

I, Leo Mankiewicz, certify that the foregoing is a true and correct transcript, to the best of my ability, of the above pages of the official electronic sound recording provided to me by the U.S. District Court, Northern District of California, of the proceedings taken on the date and time previously stated in the above matter.

I further certify that I am neither counsel for, related to, nor employed by any of the parties to the action in which this hearing was taken; and, further, that I am not financially nor otherwise interested in the outcome of the action.

lot. lefter 10/06/201

Signature of Transcriber

Date